

4.3

Using Derivatives for Curve Sketching



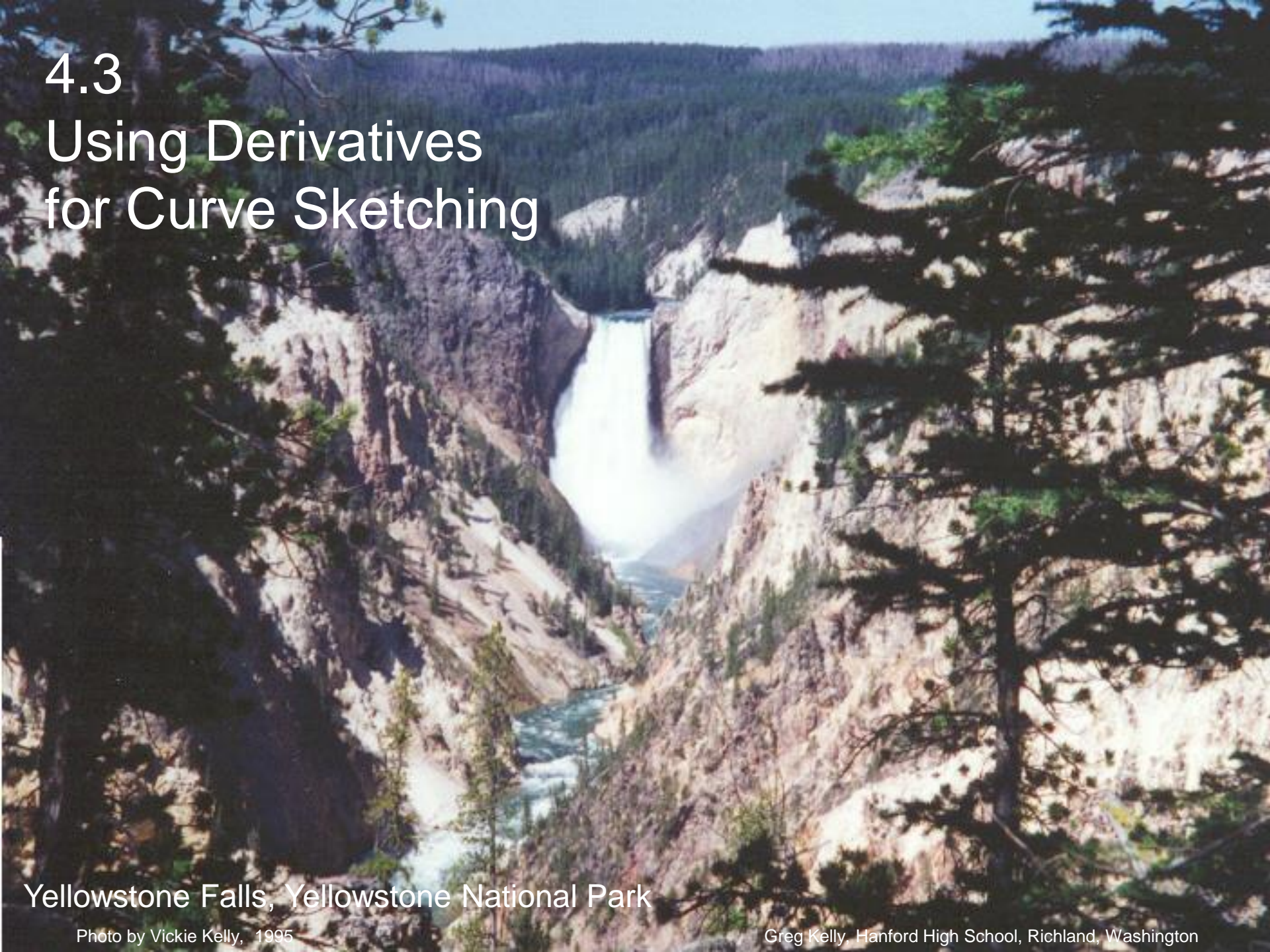
Old Faithful Geyser, Yellowstone National Park

Photo by Vickie Kelly, 1995

Greg Kelly, Hanford High School, Richland, Washington

4.3

Using Derivatives for Curve Sketching



Yellowstone Falls, Yellowstone National Park

Photo by Vickie Kelly, 1995

Greg Kelly, Hanford High School, Richland, Washington

In the past, one of the important uses of derivatives was as an aid in curve sketching. We usually use a calculator or computer to draw complicated graphs, it is still important to understand the relationships between derivatives and graphs.



First derivative:

y' is positive \longrightarrow Curve is increasing.

y' is negative \longrightarrow Curve is decreasing.

y' is zero \longrightarrow Possible local maximum or minimum.

Second derivative:

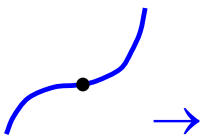
y'' is positive \longrightarrow Curve is concave up.



y'' is negative \longrightarrow Curve is concave down.



y'' is zero \longrightarrow Possible inflection point (where concavity changes).



Example:

Graph $y = x^3 - 3x^2 + 4 = (x+1)(x-2)^2$

There are roots at $x = -1$ and $x = 2$.

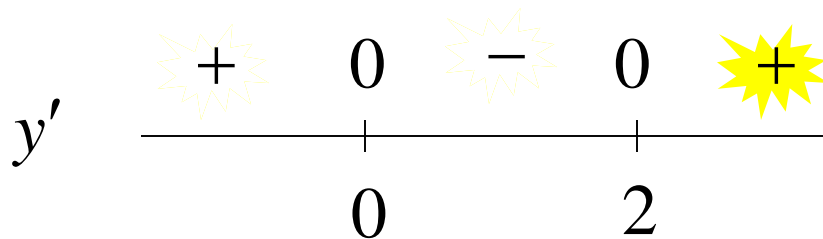
$$y' = 3x^2 - 6x$$

Possible extreme at $x = 0, 2$.

Set $y' = 0$

First derivative test:

$$0 = 3x^2 - 6x$$



$$0 = x^2 - 2x$$

$$0 = x(x-2)$$

$$x = 0, 2$$

$$y'(1) = 3 \cdot 1^2 - 6 \cdot 1 = -3 \rightarrow \text{negative}$$

$$y'(-1) = 3(-1)^2 - 6(-1) = 9 \rightarrow \text{positive}$$

$$y'(3) = 3 \cdot 3^2 - 6 \cdot 3 = 9 \rightarrow \text{positive} \rightarrow$$

Example:

Graph $y = x^3 - 3x^2 + 4 = (x+1)(x-2)^2$

There are roots at $x = -1$ and $x = 2$.

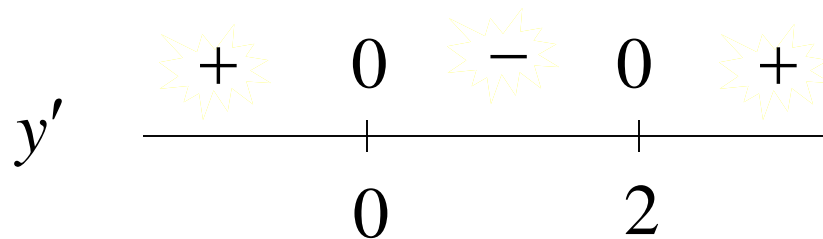
$$y' = 3x^2 - 6x$$

Possible extreme at $x = 0, 2$.

Set $y' = 0$

First derivative test:

$$0 = 3x^2 - 6x$$



$$0 = x^2 - 2x$$

$$0 = x(x-2)$$

$$x = 0, 2$$

\therefore maximum at $x = 0$

minimum at $x = 2$



Example:

Graph $y = x^3 - 3x^2 + 4 = (x+1)(x-2)^2$

There are roots at $x = -1$ and $x = 2$.

$$y' = 3x^2 - 6x$$

Possible extreme at $x = 0, 2$.

Set $y' = 0$

Or you could use the second derivative test:

$$y'' = 6x - 6$$

$$0 = 3x^2 - 6x$$

$$y''(0) = 6 \cdot 0 - 6 = -6 \quad \longrightarrow \quad \begin{array}{l} \text{negative} \\ \text{concave down} \\ \text{local maximum} \end{array}$$

$$0 = x^2 - 2x$$

$$0 = x(x-2)$$

$$y''(2) = 6 \cdot 2 - 6 = 6 \quad \longrightarrow \quad \begin{array}{l} \text{positive} \\ \text{concave up} \\ \text{local minimum} \end{array}$$

$$x = 0, 2$$

\therefore maximum at $x = 0$ minimum at $x = 2$ \rightarrow

Example:

Graph $y = x^3 - 3x^2 + 4 = (x+1)(x-2)^2$

We then look for inflection points by setting the second derivative equal to zero.

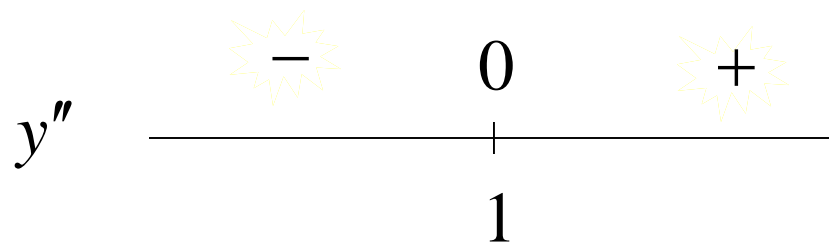
$$y'' = 6x - 6$$

Possible inflection point at $x = 1$.

$$0 = 6x - 6$$

$$6 = 6x$$

$$1 = x$$



$$y''(0) = 6 \cdot 0 - 6 = -6 \quad \longrightarrow \text{negative}$$

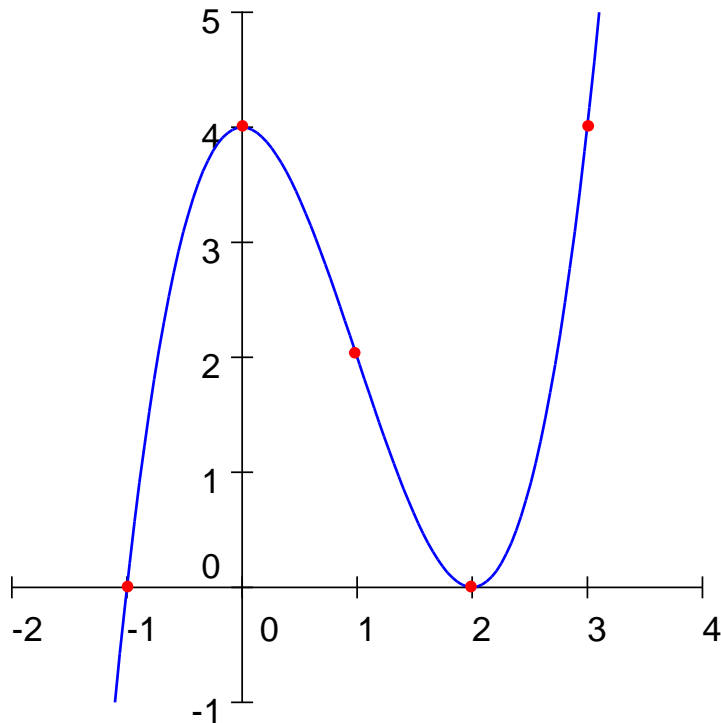
$$y''(2) = 6 \cdot 2 - 6 = 6 \quad \longrightarrow \text{positive}$$

\therefore inflection point at $x = 1$



Make a summary table:

x	y	y'	y''	
-1	0	9	-12	rising, concave down
0	4	0	-6	local max
1	2	-3	0	falling, inflection point
2	0	0	6	local min
3	4	9	12	rising, concave up



Make a summary table:

x	y	y'	y''	
-1	0	9	-12	rising, concave down
0	4	0	-6	local max
1	2	-3	0	falling, inflection point
2	0	0	6	local min
3	4	9	12	rising, concave up

